**Rec'd PCT/PTO 1 0 JAN 2005** 

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ORM PTO-1390 (Modified) 263347US6PCT TRANSMITTAL LETTER TO THE UNITED STATES NO. (If known, see 37 CFR 1.5) 5 1 9 6 8 7 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP03/07478 9 July 2003 10 July 2002 TITLE OF INVENTION A FRAME FOR A RECESS IN THE GROUND AND MANHOLE ASSEMBLY APPLICANT(S) FOR DO/EO/US Alan Jack PENDLETON et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  $\bowtie$ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3  $\boxtimes$ (9) and (24) indicated below. X The US has been elected (Article 31). 4. 5.  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🗆 is attached hereto (required only if not communicated by the International Bureau). b. 🛛 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. a. 🔲 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. □ are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c.  $\Box$ have not been made; however, the time limit for making such amendments has NOT expired.  $\boxtimes$ d have not been made and will not be made. П 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  $\Box$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10.  $\Box$ Article 36 (35 U.S.C. 371 (c)(5)).  $\boxtimes$ A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13.  $\times$ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. П 15. A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. Express Mail Label No. 23. Other items or information: Notice of Priority Application Data Sheet PCT/IB/308 Form PTO-1449

The PTO did not receive the following listed item(s) Specification 9

Reference Cited (5)

Page 1 of 2

Annexed to IPER (8 Amended sheets)

PCTUS1/REV05

## DT12 Rec'd PCT/PTO 1 0 JAN 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Und&r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
			PCT/EP03/07478			263347US6		PCT		
24. The following fees are submitted:						Аp	plicant use	Office use		
a) Basic national fee						\$	\$300.00			
						\$	\$200.00			
⊠ c) Search fee						\$	\$500.00			
	L OF ABOVE					\$1000.00	\$	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra Sheets		er of each additional 50 or nereof (round up to a whole							
- 100 =	/50 =		× \$250.00			\$250.00	\$	\$0.00		
Surcharge of \$130 earliest claimed p			eclaration later than r	nonth	s fro	m the	\$	\$130.00		
CLAIMS	NUMBER F	ILED N	IUMBER EXTRA		RA	TE				
Total claims	44	- 20 =	24	x		\$50.00	\$	\$1,200.00		
Independent claim	ns 2	- 3=	0	х		\$200.00	\$	\$0.00		
MULTIPLE DEPE	NDENT CLAIMS	(if applicable)		+		\$360.00	\$	\$0.00		
		TOTA	L OF ABOVE CA	ALCI	JLA	TIONS =	\$	\$2,330.00		
Applicant claireduced by 1		atus. See 37	CFR 1.27. The fees i	ndicat	ed a	bove are	\$	\$0.00		
				S	UB	TOTAL =	\$	\$2,330.00		
Processing fee of the earliest claims			sh translation later th	an 30	) mo	nths from	\$	\$0.00		
	<u> </u>	. •		IATIO	ON	AL FEE =	\$	\$2,330.00		
			FR 1.21(h)). The ass CFR 3.28, 3.31). \$40				\$	\$0.00		
			TOTAL FEE	S E	NC	LOSED =	\$	\$2,330.00		
Amount to be refunded:						refunded:			\$	
Amount to be charged:									\$	
a. A check i	n the amount of \$		to cover the a	bove	fees	is enclosed.		•		
b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is								r the above fees.		
c.  The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 . A duplicate copy of this sheet is enclosed.										
d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:						// 	d laka			
Customer Number SIGNATURE						E				
22850 Surinder Sachar					(	Gregory J. Maier				
Registration No. 34,423 NAME										
	25,599									
REGISTRAT					TION NUMBER					

Page 2 of 2

PCTUS1/REV05

## 10/519687 DT12 Rec'd PCT/PTO 10 JAN 2005

Docket No. 263347US6PCT

IN RE APPLICATION OF: Alan Jack PENDLETON, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/07478

FILED:

Herewith

FOR:

A FRAME FOR A RECESS IN THE GROUND AND MANHOLE ASSEMBLY

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

## SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith:

PCT Transmittal Letter/Application Data Sheet/Notice of Priority/PCT/IB/308

Preliminary Amendment/International Search Report/Reference Cited (5)

Information Disclosure Statement/Form PTO-1449/

Annexed to the IPER(8 Amended sheets)

International Preliminary Examination Report/Credit Card Payment Form for \$2330.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	44	MINUS	44	0	х	\$50	=	\$0.00
INDEPENDENT	2	MINUS	2	0	х	\$200	=	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS + \$360				=	\$0.00	
		TOTAL OF ABOVE CALCULATIONS  Reduction by 50% for filing by Small Entity					\$0.00	
							\$0.00	
		☐ Recordation of Assignment -			+	\$40	=	\$0.00
						TOT	AL	\$0.00

П	A che	ck in	the	amount	of \$0	.00 is	attached	1
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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier

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